Spiderrock EXS, LLC - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	71.7715	28.2285	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.8279	0.0000	59.4923	60.6813	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.6800	0.0000	10.6621	10.7257	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0204	0.0000	0.0284	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0596	0.0000	0.0830	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.5689	0.0000	10.5090	10.7209	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0759	0.0000	0.0882	0.0445	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2842	0.0000	0.3958	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
MEMX LLC EQUITIES (MEMX)	0.0597	0.0000	0.0786	0.0115	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0245	0.0000	0.0299	0.0108	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0123	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0154	0.0000	0.0214	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	17.3700	0.0000	17.4322	17.2118	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.5181	0.0000	0.5639	0.4016	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.4866	0.0000	0.6028	0.1914	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):

XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	74.4094	25.5906	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	60.0457	0.0000	60.2974	59.3140	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	11.1370	0.0000	11.2255	10.8797	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0301	0.0000	0.0403	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.1732	0.0000	0.2317	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	11.0825	0.0000	11.1020	11.0259	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.1466	0.0000	0.1209	0.2213	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2738	0.0000	0.3653	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0828	0.0000	0.1020	0.0268	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0527	0.0000	0.0516	0.0558	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0100	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0232	0.0000	0.0308	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	14.6931	0.0000	14.3638	15.6506	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	1.3012	0.0000	1.0199	2.1192	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.9516	0.0000	1.0401	0.6942	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
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INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
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NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
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XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.7044	0.0100	87.0986	12.9012	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0893	0.0000	0.0296	0.4939	0.0000	0.00	0.0000	8,390.52	30.9579	1,940.47	41.5786	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS (ARCO)	56.7176	0.0000	57.3362	53.8453	0.0000	0.00	0.0000	108,863.14	25.5430	61,240.72	16.5235	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	0.7059	0.0000	0.4545	2.4192	0.0000	0.00	0.0000	23,545.47	52.3850	2,939.59	32.6078	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	1.6859	0.0000	1.0204	6.2174	0.0000	0.00	0.0000	70,610.99	32.6739	55,428.85	34.9510	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0588	0.0000	0.0264	0.2788	0.0000	0.00	0.0000	13,762.52	31.3383	316.28	23.7985	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.3332	0.0000	0.2017	1.2290	0.0000	0.00	0.0000	8,286.91	53.3641	2,160.37	32.8573	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.0748	0.0000	0.0445	0.2810	0.0000	0.00	0.0000	5,303.83	52.9377	627.89	40.4048	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0741	0.0000	0.0290	0.3799	0.0000	0.00	0.0000	13,859.86	18.2655	684.97	10.3815	0.00	0.0000
MIAX PEARL, LLC (MPRL)	1.8455	0.0000	2.0141	0.7499	0.0000	0.00	0.0000	47,866.88	80.7131	41,921.08	98.5474	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	4.0350	0.0000	3.6082	7.0086	0.0000	0.00	0.0000	120,148.73	44.3902	81,470.23	44.5163	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0185	0.0000	0.0100	0.1131	0.0000	0.00	0.0000	11,601.34	49.4453	709.40	39.6092	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0343	0.0000	0.0175	0.1487	0.0000	0.00	0.0000	9,869.80	16.2801	601.35	43.3562	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.0327	0.0000	0.0195	0.1225	0.0000	0.00	0.0000	5,669.77	45.8126	422.34	52.3995	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.4446	0.0100	0.0930	0.5306	0.0000	246.39	59.2284	33,974.05	25.7924	11,227.88	9.5644	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.1482	0.0000	0.0924	0.5282	0.0000	0.00	0.0000	20,922.76	39.1028	1,434.74	21.0311	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0815	0.0000	0.0308	0.4262	0.0000	0.00	0.0000	8,347.99	38.5055	640.94	29.8252	0.00	0.0000
NASDAQ OPTIONS MARKET (XNDQ)	33.5617	0.0000	34.9459	24.9885	0.0000	0.00	0.0000	75,859.13	53.9619	24,609.92	66.3090	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.0584	0.0000	0.0317	0.2393	0.0000	0.00	0.0000	19,439.56	49.3590	2,532.20	43.9465	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MIAX SAPPHIRE, LLC (SPHR):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	70.4786	29.5214	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.6587	0.0000	59.2852	60.5503	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.7716	0.0000	10.7718	10.7712	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0192	0.0000	0.0273	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0591	0.0000	0.0839	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.5999	0.0000	10.5365	10.7514	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0658	0.0000	0.0835	0.0235	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2687	0.0000	0.3809	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0731	0.0000	0.0981	0.0134	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0303	0.0000	0.0374	0.0134	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0125	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0127	0.0000	0.0179	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	17.7432	0.0000	17.7916	17.6275	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.4435	0.0000	0.5682	0.1457	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.2452	0.0000	0.3050	0.1025	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0100	73.6606	26.3394	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	60.2645	0.0100	60.3357	60.0654	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	11.3744	0.0000	11.4605	11.1338	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0362	0.0000	0.0491	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.1854	0.0000	0.2512	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	11.1471	0.0000	11.1630	11.1027	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0941	0.0000	0.1105	0.0481	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2577	0.0000	0.3490	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0948	0.0000	0.1218	0.0192	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0608	0.0000	0.0724	0.0282	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0102	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0211	0.0000	0.0286	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	15.0831	0.0000	14.7594	15.9883	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.9262	0.0000	0.8638	1.1004	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.4465	0.0000	0.4239	0.5096	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):

ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.8526	0.0100	86.3297	13.6702	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0438	0.0000	0.0226	0.1782	0.0000	0.00	0.0000	7,494.86	33.4473	1,267.88	36.6864	0.00	0.0000
NYSE ARCA OPTIONS (ARCO)	48.3289	0.0000	48.6651	46.7282	0.0000	0.00	0.0000	51,078.72	14.1181	40,774.39	12.2381	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	0.6110	0.0000	0.4121	1.8738	0.0000	0.00	0.0000	18,433.28	44.8771	4,978.96	49.6952	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	2.9745	0.0000	2.3833	6.7399	0.0000	0.00	0.0000	50,127.54	31.5975	44,398.88	35.0733	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0530	0.0000	0.0234	0.2405	0.0000	0.00	0.0000	9,662.45	30.9317	429.93	29.6299	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.1034	0.0000	0.0701	0.3148	0.0000	0.00	0.0000	5,872.40	50.4069	1,520.00	33.3187	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.0163	0.0000	0.0100	0.0699	0.0000	0.00	0.0000	4,363.69	50.5583	449.32	38.9022	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0397	0.0000	0.0266	0.1226	0.0000	0.00	0.0000	9,971.84	16.8256	366.22	7.6407	0.00	0.0000
MIAX PEARL, LLC (MPRL)	0.0982	0.0100	0.0855	0.1791	0.0000	119.00	119.0000	12,438.26	56.4221	3,276.57	76.6628	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	16.6693	0.0100	16.1674	20.0192	0.0000	1,053.25	48.8747	58,291.63	58.8121	108,463.75	55.9767	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0100	0.0000	0.0100	0.0153	0.0000	0.00	0.0000	7,997.93	43.9278	852.25	45.6481	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0408	0.0000	0.0284	0.1197	0.0000	0.00	0.0000	7,048.05	16.4674	1,177.50	47.8659	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.0100	0.0000	0.0100	0.0206	0.0000	0.00	0.0000	4,596.88	45.6538	224.03	49.3458	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.5224	0.0100	0.2875	0.9315	0.0000	290.33	59.2500	37,130.96	20.1055	14,944.14	7.1741	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.0931	0.0000	0.0620	0.2909	0.0000	0.00	0.0000	14,392.35	40.9992	842.43	21.2092	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0178	0.0000	0.0100	0.0689	0.0000	0.00	0.0000	5,737.63	31.7540	652.43	20.7846	0.00	0.0000
NASDAQ OPTIONS MARKET (XNDQ)	30.2897	0.0000	31.6744	21.8725	0.0000	0.00	0.0000	42,237.03	53.4592	20,928.94	69.8306	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.0858	0.0000	0.0655	0.2144	0.0000	0.00	0.0000	9,001.05	43.3577	1,192.20	33.7160	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MIAX SAPPHIRE, LLC (SPHR):

Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	70.6079	29.3921	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.8546	0.0000	59.4825	60.7484	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	10.8684	0.0000	10.8760	10.8504	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0222	0.0000	0.0315	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.0684	0.0000	0.0969	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	10.6987	0.0000	10.6248	10.8763	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.0651	0.0000	0.0796	0.0301	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2925	0.0000	0.4141	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.0586	0.0000	0.0774	0.0135	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0312	0.0000	0.0372	0.0166	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0114	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0152	0.0000	0.0215	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	17.4558	0.0000	17.5474	17.2357	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.4287	0.0000	0.5337	0.1763	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.1325	0.0000	0.1659	0.0522	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	73.2936	26.7064	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCX)	59.9874	0.0000	60.3619	58.9597	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	11.5026	0.0000	11.5668	11.3262	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.0367	0.0000	0.0501	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.2116	0.0000	0.2884	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	11.2203	0.0000	11.3011	10.9988	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MIAX PEARL EQUITIES (EPRL)	0.1265	0.0000	0.1081	0.1771	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
INVESTORS EXCHANGE (IEXG)	0.2766	0.0000	0.3769	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.0100	0.0000	0.0100	0.0000	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
MEMX LLC EQUITIES (MEMX)	0.1086	0.0000	0.1086	0.1086	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE MKT LLC (XASE)	0.0534	0.0000	0.0532	0.0538	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX BX (XBOS)	0.0100	0.0000	0.0102	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE NATIONAL, INC. (XCIS)	0.0206	0.0000	0.0281	0.0100	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ - ALL MARKETS (XNAS)	15.1800	0.0000	14.6929	16.5171	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	1.0547	0.0000	0.7932	1.7723	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	0.2132	0.0000	0.2603	0.0841	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

NYSE ARCA (ARCX):
ARCX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE BYX U.S. EQUITIES EXCHANGE (BATY):
BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/byx/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA):
EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edga/. For more information please see https://spiderrockexs.com/supplemental-reports/

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAX PEARL EQUITIES (EPRL):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

INVESTORS EXCHANGE (IEXG):
IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://storage.googleapis.com/assets-bucket/exchange/assets/IEX_Exchange_Fee_Schedule_Current.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

LONG-TERM STOCK EXCHANGE, INC. (LTSE):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

MEMX LLC EQUITIES (MEMX):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

NYSE MKT LLC (XASE):
XASE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX BX (XBOS):
XBOS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For more information please see https://spiderrockexs.com/supplemental-reports/

NYSE NATIONAL, INC. (XCIS):
XCIS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/markets/nyse-national/. For more information please see https://spiderrockexs.com/supplemental-reports/

NASDAQ - ALL MARKETS (XNAS):
XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NEW YORK STOCK EXCHANGE, INC. (XNYS):
XNYS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf. The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/

NASDAQ OMX PSX (XPSX):
XPSX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: http://www.nasdaqtrader.com/Trader.aspx?id=PSX_Pricing/. For more information please see https://spiderrockexs.com/supplemental-reports/

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.8384	0.0100	83.2397	16.7602	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMEX OPTIONS (AMXO)	0.0404	0.0000	0.0228	0.1283	0.0000	0.00	0.0000	13,968.95	32.4241	2,487.87	38.5357	0.00	0.0000
NYSE ARCA OPTIONS (ARCO)	42.5749	0.0000	42.8598	41.5714	0.0000	0.00	0.0000	100,600.23	13.2073	76,898.38	10.8093	0.00	0.0000
CBOE BZX OPTIONS EXCHANGE (BATO)	0.4861	0.0000	0.3200	1.3154	0.0000	0.00	0.0000	42,810.42	45.4077	7,384.41	43.2773	0.00	0.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	3.4771	0.0000	3.3942	3.9226	0.0000	0.00	0.0000	96,389.87	32.2678	56,941.81	28.0673	0.00	0.0000
CBOE EDGX OPTIONS EXCHANGE (EDGO)	0.0267	0.0000	0.0165	0.0775	0.0000	0.00	0.0000	16,389.85	32.4205	1,226.41	34.1715	0.00	0.0000
MIAX EMERALD, LLC (EMLD)	0.0632	0.0000	0.0344	0.2070	0.0000	0.00	0.0000	12,196.89	49.7771	2,932.67	30.5391	0.00	0.0000
ISE GEMINI EXCHANGE (GMNI)	0.0186	0.0000	0.0100	0.0624	0.0000	0.00	0.0000	8,972.95	49.7695	1,946.70	42.0091	0.00	0.0000
ISE MERCURY, LLC (MCRY)	0.0334	0.0000	0.0204	0.0982	0.0000	0.00	0.0000	22,061.39	22.2730	634.61	7.1691	0.00	0.0000
MIAX PEARL, LLC (MPRL)	0.0512	0.0000	0.0367	0.1238	0.0000	0.00	0.0000	21,169.03	52.0354	3,124.70	50.5043	0.00	0.0000
MEMX LLC OPTIONS (MXOP)	25.7726	0.0100	24.1197	34.2306	0.0000	60.00	40.0000	145,125.54	63.6976	227,631.54	59.1600	0.00	0.0000
MIAX SAPPHIRE, LLC (SPHR)	0.0100	0.0000	0.0100	0.0235	0.0000	0.00	0.0000	14,675.73	50.2284	1,774.25	49.6850	0.00	0.0000
BOX OPTIONS EXCHANGE (XBOX)	0.0253	0.0000	0.0153	0.0753	0.0000	0.00	0.0000	12,203.00	17.9879	1,532.55	45.6524	0.00	0.0000
NASDAQ OMX BX OPTIONS (XBXO)	0.0100	0.0000	0.0100	0.0212	0.0000	0.00	0.0000	10,330.87	45.0756	560.97	51.5124	0.00	0.0000
CBOE GLOBAL MARKETS INC. (XCBO)	0.4012	0.0100	0.1905	0.4994	0.0000	526.43	59.1489	85,004.04	27.2486	33,457.43	8.8853	0.00	0.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE)	0.0445	0.0000	0.0309	0.1127	0.0000	0.00	0.0000	29,724.61	43.5979	1,293.41	27.6252	0.00	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	0.0175	0.0000	0.0100	0.0578	0.0000	0.00	0.0000	10,834.95	38.1110	730.05	25.3226	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NASDAQ OPTIONS MARKET (XNDQ)	26.6912	0.0000	28.6539	17.2012	0.0000	0.00	0.0000	112,350.91	48.9557	44,336.28	64.3870	0.00	0.0000
NASDAQ OMX PHLX (XPHL)	0.2598	0.0000	0.2551	0.2716	0.0000	0.00	0.0000	17,243.02	47.3332	2,363.42	41.6755	0.00	0.0000

Material Aspects:

NYSE AMEX OPTIONS (AMXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NYSE ARCA OPTIONS (ARCO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE BZX OPTIONS EXCHANGE (BATO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE C2 OPTIONS EXCHANGE (C2OX):
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CBOE EDGX OPTIONS EXCHANGE (EDGO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX EMERALD, LLC (EMLD):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE GEMINI EXCHANGE (GMNI):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

ISE MERCURY, LLC (MCRY):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MIAX PEARL, LLC (MPRL):

The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

MEMX LLC OPTIONS (MXOP):
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MIAX SAPPHIRE, LLC (SPHR):
Note regarding Payment for Order Flow Practices The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow for these client orders from the executing broker who is responsible for market access. Payment for order flow or tier consideration does not influence the routing decision of the Firm since the Firm routing logic relies exclusively on base tiers with no inclusion of payment for order flow. Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.

BOX OPTIONS EXCHANGE (XBOX):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OMX BX OPTIONS (XBXO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

CBOE GLOBAL MARKETS INC. (XCBO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

INTERNATIONAL SECURITIES EXCHANGE, LLC - EQUITIES (XISE):
XISE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7. For more information please see https://spiderrockexs.com/supplemental-reports/

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.

NASDAQ OPTIONS MARKET (XNDQ):
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NASDAQ OMX PHLX (XPHL):
The Firm has entered an agreement with Instinet, pursuant to which the Firm is provided access to its tiered pricing/payment schedule for client orders routed to Instinet for execution on the exchange. Information reflecting Instinet's tiered pricing schedule can be found at the following link: https://spiderrockexs.com/supplemental-reports/. Pursuant to this agreement, the Firm also receives (i) 100% of any benefit tied to Instinet's tiered pricing/payment schedule for the tiers that the Firm reaches independent of Instinet's customer orders, and (ii) a split of any benefit between the tier reached by the Firm's customer orders and the tier reached by Instinet inclusive of the Firm's customer orders. Finally, this agreement allows the Firm to benefit from a portion of payments for order flow ("PFOF") that Instinet receives from the Firm's client orders that are routed to Instinet for execution on the exchange. Information on the Firm's PFOF payments for this quarter can be found at the following link: https://spiderrockexs.com/supplemental-reports/. All transactions facilitated by SpiderRock ATS occur through the submitted auction facilities of registered options exchanges.